

PLANNED REPORTS TO AUDIT AND GOVERNANCE COMMITTEE 2021-2022

	Report	Committee Date	Report Of	Comments
1	Role of the Audit Committee	June	Grant Thornton	Presentation/training
2	RIPA Annual Report & Review of the RIPA Policy	June	Assistant Director - Partnerships	
3	Internal Audit Annual and Quarterly Update	June	Principal Auditor	
4	Public Sector Internal Audit Standards/Quality Assurance and Improvement Programme	June	Principal Auditor	
5	Annual Governance Statement and Code of Corporate Governance	June		
1	Audit Findings Report	July	Grant Thornton	
2	Management Representation Letter	July	Grant Thornton	
3	Annual Statement of Accounts	July	Executive Director	

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			Finance	
4	Risk Management Quarterly Update	July	Assistant Director – Finance	
5	Internal Audit Quarterly Update	July	Audit Manager	
6	Update on Risk Based Verification Policy	July	Assistant Director, Finance	
Page 68	Private meeting of Internal and External Auditors and Committee members	July		
1	Audit Findings	September	Grant Thornton	
2	Management Representation Letter	September	Grant Thornton	
3	Fee Increase Letter	September	Grant Thornton	<i>Moved from March meeting</i>
4	Annual Treasury Outturn	September	Executive Director Finance	
5	Local Government Ombudsman’s Annual Review and Report 2020/21	September	Assistant Director – People	
6	Modern Slavery and Human Trafficking	September	Assistant Director –	

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	Statement		Partnerships	
1	Internal Audit Quarterly Update	October	Audit Manager	
2	Appointment of External Auditors re Accounts commencing 2023/24	October	Executive Director Finance	
3	Risk Management Quarterly Update	October	Assistant Director – Finance	
4	Counter Fraud Update	October	Audit Manager	<i>Annual report in October</i>
5	Update on the Audit Findings Report'	October	External Auditors	<i>Exempt</i>
	Private meeting of Internal and External Auditors and Committee members	October		
1	Internal Audit Quarterly Update	February	Audit Manager	
2	Risk Management Quarterly Update	February	Assistant Director – Finance	
3	Audit Committee Effectiveness	February	Audit Manager	

	Report	Committee Date	Report Of	Comments
1	Audit and Governance Committee update	March	Grant Thornton	
2	Auditor's Annual Report	March	Grant Thornton	
3	Audit Plan	March	Grant Thornton	
4	Informing the Audit Risk Assessment	March	Grant Thornton	
5	Fee Increase Letter	March	Grant Thornton	
6	Review of the Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Statement and the Treasury Management Strategy Statement and Annual Investment Strategy Mid-Year Review Report	March	Executive Director Finance	
7	Final Accounts – Accounting Policies and Action Plan	March	Assistant Director of Finance	
8	Internal Audit Charter and Audit Plan	March	Audit Manager	
9	Councillor Code of Conduct – following finalisation of LGA new Model Code	March	Monitoring Officer	

	Report	Committee Date	Report Of	Comments
10	Review of the Constitution and Scheme of Delegation for Officers	March	Monitoring Officer	
11	Review of Financial Guidance	March	Assistant Director Finance	
12	Annual Report of the Chair of A&G	March	Audit Manager / Chair	
13	Reset & Recovery – project risks and quality assurance aspects	March	Assistant Director, Neighbourhoods & Assistant Director, Finance	
Page 71	Private meeting of Internal and External Auditors and Committee members	March		

The Portfolio Holder for Finance, Risk and Customer Services

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